08-13555-mg Doc 51804 Filed 01/08/16 Entered 01/08/16 13:28:48 Main Document Pg 1 of 7

SOUTHERN DISTRICT OF NEW YORK	
х	
To me	Chanton 11 Care No
In re	Chapter 11 Case No.
LEHMAN BROTHERS HOLDINGS INC., et al.,	08-13555 (SCC)
:	(Jointly Administered)
Debtors. :	,
:	
X	Ref. Docket Nos. 51545, 51570,
	51571, 51576, 51579, 51582, 51672
	51673, 51675, 51676, 51689, 51690
AFFIDAVIT OF SERVI	<u>CE</u>

STATE OF NEW YORK	)	
	)	SS.
COUNTY OF NEW YORK	)	

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

- 1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 777 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
- 2. On December 23, 2015, I caused to be served the "Notice: Filing of Transfer of Claim Pursuant to Federal Rule of Bankruptcy Procedure 3001(e)(2) or (4)," a sample of which is attached hereto as <a href="Exhibit A">Exhibit A</a>, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
- 3. All envelopes utilized in the service of the foregoing contained the following legend: "LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez
Lauren Rodriguez

### **EXHIBIT A**

#### UNITED STAPES 135555 UPPOCY @ OOR 51804 Filed 01/08/16 Entered 01/08/16 13:28:48 Main Document Pq 3 of 7 Southern District of New York

In re

Chapter 11 Case No.

LEHMAN BROTHERS HOLDINGS INC., et al.,

08-13555 (SCC)

Debtors.

(Jointly Administered)

#### NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF BANKRUPTCY PROCEDURE 3001(e)(2) or (4)

transferor refers to the claimant who is selling or otherwise assigning its claim. While Note: For Purposes of this form, transferee refers to the party who is purchasing or othewise being assigned the claim.

BAR(23) MAILID \*\*\* 000101295592 \*\*\* To:

LBH TRFNTC (MERGE2, TXNUM2) 4000069102



C/O AKANTHOS CAPITAL MANAGEMENT, LLC 21700 OXNARD STREET, SUITE 1520 ATTENTION: MICHAEL KAO ATTENTION: JOSHUA NIP WOODLAND HILLS, CA 91367

> Please note that your claim # 22211 in the above referenced case and in the amount of \$5,668,535.24 allowed at \$4,700,000.00 has been transferred (unless previously expunged by court order)

JEFFERIES LEVERAGED CREDIT PRODUCTS, LLC TRANSFEROR: AKANTHOS ARBITRAGE MASTER FUND, LP C/O JEFFERIES LLC ATTN: JESSICA BERMAN 101 HUDSON STREET, 11TH FLOOR JERSEY CITY, NJ 07302

No action is required if you do not object to the transfer of your claim. However IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:

UNITED STATES BANKRUPTCY COURT

Southern District of New York

One Bowling Green

New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 51570 in your objection. If you file an objection, a hearing will be scheduled. IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEREE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.

Date: 12/23/2015 Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

EPIO BANKRUPTCY SOLUTIONS, LLC

as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on December 23, 2015.

### **EXHIBIT B**

# LEHMAN BROTHERS HOLDINGS INC. 08-13555-mg Doc 51804 Filed 01/08/16 Entered 01/08/16 13:28:48 Main Document

Claim Name Address Information		
AKANTHOS ARBITRAGE MASTER FUND, LP	C/O AKANTHOS CAPITAL MANAGEMENT, LLC, 21700 OXNARD STREET, SUITE 1520, ATTENTION: MICHAEL KAO, ATTENTION: JOSHUA NIP, WOODLAND HILLS, CA 91367	
AKANTHOS ARBITRAGE MASTER FUND, LP	C/O AKANTHOS CAPITAL MANAGEMENT, LLC, 21700 OXNARD STREET, SUITE 1520, ATTENTION: MICHAEL KAO, ATTENTION: JOSHUA NIP, WOODLAND HILLS, CA 91367	
BANCA POPOLARE DI MILANO SCARL	TRANSFEROR: CREDITO EMILIANO S.P.A., ATTN: EMILIANO CORTINOVIS, PIAZZA MEDA 4, MILANO 20121 ITALY	
BANK J. SAFRA SARASIN LTD.	BAER & KARRER LTD.,, ATTN. PETER HSU, BRANDSCHENKESTRASSE 90, ZURICH CH-8027 SWITZERLAND	
BANK J. SAFRA SARASIN LTD.	CLEARLY GOTTLIEB STEEN & HAMILTON LLP, ATTN: ANDRE A. BERNSTEIN, ESQ., 12, RUE DE TILSITT, PARIS 75008 FRANCE	
BANK JULIUS BAR, ZURICH	TRANSFEROR: BANK J. SAFRA SARASIN LTD., C/O BANK JULIUS BAER & CO. LTD., ATTN: PATRIK ROOS, BAHNOFSTRASSE 36, ZURICH CH-8010 SWITZERLAND	
BARCLAYS BANK PLC	TRANSFEROR: DEXIA CREDIT LOCAL, 745 SEVENTH AVENUE, NEW YORK, NY 10019	
BARCLAYS BANK PLC	TRANSFEROR: DEXIA CREDIT LOCAL, 745 SEVENTH AVENUE, NEW YORK, NY 10019	
CREDIT SUISSE AG	PARADEPLATZ 8, ZURICH CH-8001 SWITZERLAND	
CREDIT SUISSE AG	CRAVATH, SWAINE & MOORE LLP, ATTN: RICHARD LEVIN, WORLDWIDE PLAZA, 825 EIGHTH AVENUE, NEW YORK, NY 10019	
CREDIT SUISSE AG	RICHARD LEVIN, ESQ., CRAVATH, SWAINE & MOORE LLP, 825 8TH AVENUE, NEW YORK, NY 10019	
CREDIT SUISSE AG	ATTN: RICHARD LEVIN, JENNIFER BOSHAKOVA, CRAVATH SWAINE & MOORE LLP, 825 8TH AVENUE, NEW YORK, NY 10019	
CREDITO EMILIANO S.P.A.	ATTN: MR. LUCA MARIANI & EFISIO BERTRAND, VIA EMILIA S. PIETRO, 4, REGGIO EMILIA 42100 ITALY	
CREDITO EMILIANO S.P.A.	ATTN: EFISIO BERTRAND, VIA EMILIA SAN PIETRO, N. 4, REGGIO EMILIA 42121 ITALY	
CREDITO EMILIANO SPA	TRANSFEROR: UNIONE DI BANCHE ITALIANE SCPA, ATTN: STEFANIA CATELLANI, VIA GANDHI, 2/C, REGGIO EMILIA 42121 ITALY	
DEUTSCHE BANK AG, LONDON BRANCH (UK)	TRANSFEROR: STONE LION PORTFOLIO LP, ATTN: JACK PELZIG, WINCHESTER HOUSE, 1 GREAT WINCHESTER ST, LONDON EC2N 2DB UNITED KINGDOM	
EMPYREAN INVESTMENTS, LLC	TRANSFEROR: BARCLAYS BANK PLC, C/O EMPYREAN CAPITAL PARTNERS, LP, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE. 2950, LOS ANGELES, CA 90067	
EMPYREAN INVESTMENTS, LLC	TRANSFEROR: BARCLAYS BANK PLC, C/O EMPYREAN CAPITAL PARTNERS, LP, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., STE. 2950, LOS ANGELES, CA 90067	
GOLDMAN SACHS & CO.	TRANSFEROR: PAULSON CREDIT OPPORTUNITIES MASTER LTD., ATTN: MICHELLE LATZONI, 200 WEST STREET, NEW YORK, NY 10282	
GOLDMAN SACHS & CO.	TRANSFEROR: PAULSON CREDIT OPPORTUNITIES MASTER LTD., ATTN: MICHELLE LATZONI, 200 WEST STREET, NEW YORK, NY 10282	
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GOLDMAN SACHS & CO.	TRANSFEROR: PAULSON CREDIT OPPORTUNITIES MASTER LTD., ATTN: MICHELLE LATZONI,	

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GOLDMAN SACHS & CO.	200 WEST STREET, NEW YORK, NY 10282	
GOLDMAN SACHS & CO.	TRANSFEROR: PAULSON CREDIT OPPORTUNITIES MASTER LTD., ATTN: MICHELLE LATZONI,	
	200 WEST STREET, NEW YORK, NY 10282	
GONET & CIE	TRANSFEROR: LOMBARD ODIER & CIE, 6 BOULEVARD DU THEATRE, CP 5009, GENEVA 11 CH-1211 SWITZERLAND	
ILLIQUIDX LLP	TRANSFEROR: INTESA SANPAOLO PRIVATE BANKING S.P.A., ATTN: MR. CELESTINO AMORE, 80 FLEET STREET, LONDON EC4Y 1EL UNITED KINGDOM	
INTESA SANPAOLO PRIVATE BANKING S.P.A.	REF. PAOLO POLLASTRI (LEGAL DEPARTMENT), VIA HOEPLI, 10, MILANO 20121 ITALY	
JEFFERIES LEVERAGED CREDIT PRODUCTS,	TRANSFEROR: AKANTHOS ARBITRAGE MASTER FUND, LP, C/O JEFFERIES LLC, ATTN: JESSICA BERMAN, 101 HUDSON STREET, 11TH FLOOR, JERSEY CITY, NJ 07302	
JEFFERIES LEVERAGED CREDIT PRODUCTS,	TRANSFEROR: AKANTHOS ARBITRAGE MASTER FUND, LP, C/O JEFFERIES LLC, ATTN: JESSICA BERMAN, 101 HUDSON STREET, 11TH FLOOR, JERSEY CITY, NJ 07302	
LOMBARD ODIER & CIE	TRANSFEROR: CREDIT SUISSE, 11, RUE DE LA CORRATERIE, GENEVA 1211 SWITZERLAND	
PAULSON CREDIT OPPORTUNITIES MASTER	TRANSFEROR: YORVIK PARTNERS LLP, C/O PAULSON & CO. INC., 1251 AVENUE OF THE AMERICAS, 50TH FLOOR, NEW YORK, NY 10020	
PAULSON CREDIT OPPORTUNITIES MASTER	ROBERT SCHEININGER, SIDLEY AUSTIN LLP, 787 7TH AVENUE, NEW YORK, NY 10019	
LTD.	ROBERT SCHEININGER, STEELT AGSTIN EEL, 707 711 AVENGE, NEW TORK, NI 10019	
PAULSON CREDIT OPPORTUNITIES MASTER	TRANSFEROR: YORVIK PARTNERS LLP, C/O PAULSON & CO. INC, 1251 AVENUE OF THE	
LTD.	AMERICAS, 50TH FLOOR, NEW YORK, NY 10020	
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LTD.	AMERICAS, 50TH FLOOR, NEW YORK, NY 10020	
PAULSON CREDIT OPPORTUNITIES MASTER LTD.	SIDLEY AUSTIN LLP, ATTN: MICHAEL GREENBLATT, 787 SEVENTH AVENUE, NEW YORK, NY 10019	
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PAULSON CREDIT OPPORTUNITIES MASTER	SIDLEY AUSTIN LLP, ATTN: JAMIE SENIOR, 787 SEVENTH AVENUE, NEW YORK, NY 10019	
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LTD.	AMERICAS, 50TH FL., NEW YORK, NY 10020	
PAULSON CREDIT OPPORTUNITIES MASTER LTD.	SIDLEY AUSTIN LLP, ATTN: JAIME SENIOR, 787 SEVENTH AVENUE, NEW YORK, NY 10019	
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PAULSON CREDIT OPPORTUNITIES MASTER	JAIME SENIOR, SIDLEY AUSTIN LLP, 787 SEVENTH AVENUE, NEW YORK, NY 10019	
PAULSON CREDIT OPPORTUNITIES MASTER	TRANSFEROR: GOLDMAN SACHS & CO., C/O PAULSON & CO. INC., 1251 AVENUE OF THE	
LTD.	AMERICAS, 50TH FLOOR, NEW YORK, NY 10020 SIDLEY AUSTIN LLP, ATTN: MICHAEL GREENBLATT, 787 SEVENTH AVENUE, NEW YORK, NY	

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PAULSON CREDIT OPPORTUNITIES MASTER	SIDLEY AUSTIN LLP, ATTN: MICHAEL GREENBLATT, 787 SEVENTH AVENUE, NEW YORK, NY
LTD.	10019
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LTD.	AMERICAS, 50TH FLOOR, NEW YORK, NY 10020
PAULSON CREDIT OPPORTUNITIES MASTER	SIDLEY AUSTIN LLP, ATTN: MICHAEL GREENBLATT, 787 SEVENTH AVENUE, NEW YORK, NY
LTD.	10019
PAULSON CREDIT OPPORTUNITIES MASTER	JAMES OLIVO - OPERATIONS MANAGER, 1251 AVENUE OF THE AMERICAS, NEW YORK, NY
LTD.	10020
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LTD.	10020
PAULSON CREDIT OPPORTUNITIES MASTER	JAMES OLIVO - OPERATIONS MANAGER, 1251 AVENUE OF THE AMERICAS, NEW YORK, NY
LTD.	10020
STONE LION PORTFOLIO LP	TRANSFEROR: MIZUHO CORPORATE BANK, LTD., STONE LION CAPITAL PARTNERS L.P., ATTN: ZACHARY NUZZI, 555 5TH AVENUE, 18TH FLOOR, NEW YORK, NY 10017
UBS AG	TRANSFEROR: CREDIT SUISSE AG, BAHNHOFSTRASSE 45, ZURICH CH-8001 SWITZERLAND
UNIONE DI BANCHE ITALIANE SCPA	ATTENTION: LUCA BONZANINI, AREA AFFARI LEGALI E CONTENZIOSO, PIAZZA V. VENETO
	N. 8, BERGAMO 24121 ITALY
UNIONE DI BANCHE ITALIANE SCPA	ALLEN & OVERY, ATTN: CRAIG BYRNE, VIA MANZONI, 41-43, MILANO 20121 ITALY

**Total Creditor Count 76**